How to incorporate Al interviewers in contemporary web surveys?

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Introduction I

- Demand for high-quality data from web surveys (Knowledge Sourcing Intelligence, 2023)
- Cost-efficient and streamlined web surveys replace other survey modes, especially in-person interviews (Schober, 2018)
 - Even large-scale social surveys, such as ESS, start utilizing web surveys
- Web surveys are not ready for taking over
 - Depressed response rates (Daikeler et al., 2020)
 - Impede participation for illiterate people (Höhne, 2023)
 - Struggle with achieving high data quality (Callegaro et al., 2015)
- Absence of interviewers impedes the provision of assistance and the creation of trust, motivation, and engagement

Introduction II

- Advances in communication technology and AI introduce new data collection opportunities
- Fusing elements of interviewer-based and web surveys
 - Life-like AI interviewers and self-administration
- Few studies utilized virtual interviewers (Conrad et al., 2015; Conrad et al., 2020; Lind et al., 2013; Schuetzler et al., 2018)
 - Inconclusive results on respondent satisfaction and data quality
 - Frequently conducted in lab settings
- Web surveys with pre-recorded interviewers have quality benefits (West et al., 2022; Conrad et al., 2023)
 - More disclosure of sensitive behavior, less rounding, and less error variance



Research Questions (RQs)

• How ...

... are AI interviewers evaluated by respondents? (RQ1)

... do AI interviewers affect respondents' survey satisfaction? (RQ2)

... do AI interviewers affect response effort in terms of response times? (RQ3)

... do AI interviewers affect question reading (or video playing)? (RQ4)

... do Al interviewers affect item-nonresponse? (RQ5)

What is the AI Part of AI Interviewers?

Image generation

Instruction via descriptive keywords using diffusion models or architected transformers (Zhang et al., 2023)

Text generation

Generative Pretrained Transformers and Large Language Models (Vaswani et al., 2017)

Text-to-Speech generation

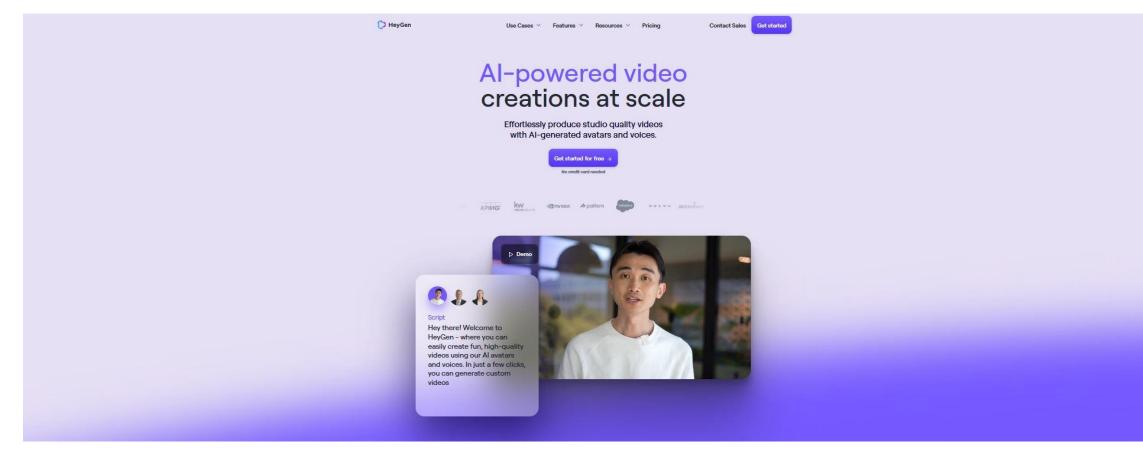
Statistical Parametric Synthesis and Neural Speech Synthesis (Tan et al., 2021): **1** "text analysis" (heteronyms), **2** "voice parameter prediction" (acoustic model), and **3** "vocoder analysis" (audio snippets)

Speech and image animation

Multistep pipelines of transformers, Recurrent Neural Networks, Convolutional Neural Networks, and Generative Adversarial Networks (Chen et al., 2023)

See Cheung, B. (2023): <u>https://bennycheung.github.io/create-personal-animated-ai-avatar</u>.

Method: Creating Al Interviewers



See https://www.heygen.com/.

Method: Study Design



Male casual *n = (376)*



Male business casual (n = 375)

Female casual *n = (395)*



Female business casual (n = 343)

- Experiment in a smartphone survey (N = 1,489)
 - Response time collection via ECSP (Schlosser & Höhne, 2020)
- Between-subject (4-group) design
 - AI interviewers were called Alex
- Closed and open questions
 - 6 closed and 2 open questions (e.g., on family relations)
- Respondents had to click for playing the Al interviewer video
- Questions for evaluating AI interviewers and survey satisfaction
 - Placed at the end of the survey

Method: Sample

Data collection was conducted in the Respondi/Bilendi panel in Germany in November and December 2023

Cross quotas: Age and gender plus education

Mean age: 49 years

Gender: 49% females

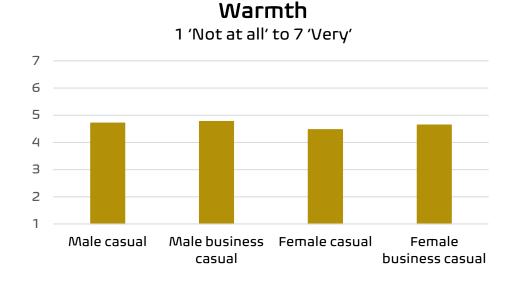
Education: 43% lower secondary school

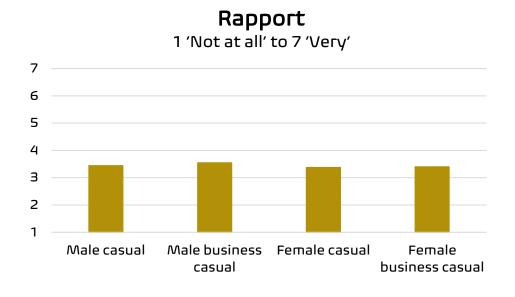
24% intermediate secondary school

33% at least college preparatory secondary school

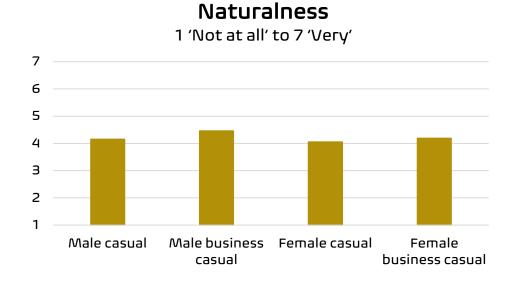
Experimental conditions do not statistically differ with respect to age, gender, and education.

Results: Research Question 1

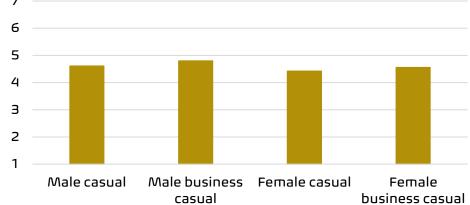




Results: Research Question 1

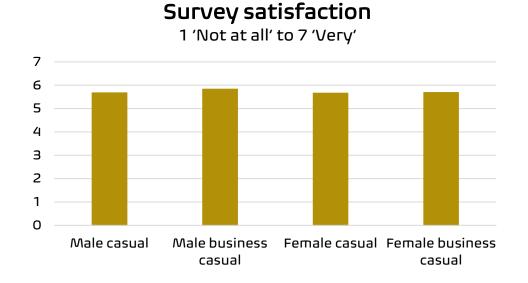


Authenticity1 'Not at all' to 7 'Very'7

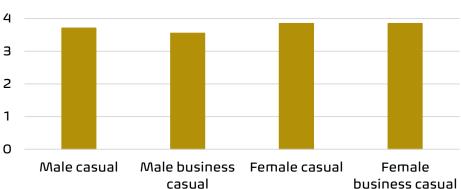


Results: Research Questions 2 & 3

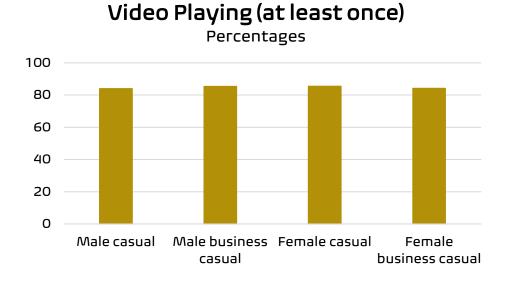
5



Response time Minutes



Results: Research Questions 4 & 5



Item-nonresponse Percentages 1 0,8 0,6 0,4 0,2 0 Male casual Male business Female casual Female business casual

Discussion and Conclusion

- Al interviewers perform similarly well in terms of respondent evaluations
 - Rapport evaluations are somewhat lower than the remaining evaluations
- There is no difference regarding survey satisfaction
 - However, survey satisfaction appears to be high
- Al interviewers pose similar response effort
 - Different speech behaviors do not introduce comprehension or answer difficulties
- Importantly, majority of respondents plays the videos (> 80%)
 - Compliance with survey task and general survey question processing
- No increase in item-nonresponse across AI interviewers
 - Item-nonresponse is slightly higher (~2%) for open questions



Future Avenues

- Conducting subgroup analysis
- Comparing data quality to text-based web surveys
- Including more natural answer formats
 - For example, voice communication channels
- Growing beyond commercial providers
 - Developing open-source infrastructure for AI interviewer web surveys
 - Tailoring AI interviewers to survey purposes
- Moving from avatars to agents
 - Responsive and autonomous AI interviewers

Many thanks for your attention!

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